

2020 IAAP Convention Springfield, Illionis

Presented by Patrick Harrison, P.E., Senior Consultant

March 5th, 2020



PRESENTATION OBJECTIVES

SURFACE TAILING IMPOUNDMENTS

- Overview of Engineering Design
- Project Design Approach
- Construction Oversight
- Inspection and Maintenance



Why is Engineering Design Important?

Comply with Federal,
State and Local
Regulations and
Permit Requirements

Reduce Risk - Major
Failures have led to an
Industry-Wide Review
of Design and
Management Practices



WHY ARE SOME ENGINEERING DESIGN CONSIDERATIONS?

Geotechnical and Geologic Conditions

Hazard Classification

Impact on Future Reserves

Surface/Subsurface Water Management (H&H)

Distance and Elevation from Processing Plant

Ease of Access

Borrow Material Type, Location and Availability

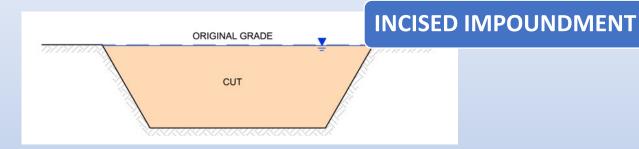
Dust Control

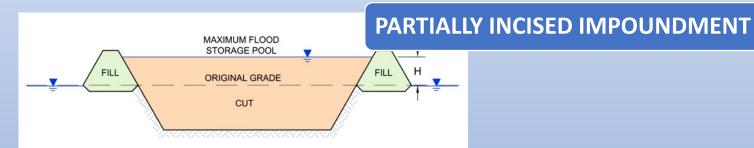
Environmental Constraints

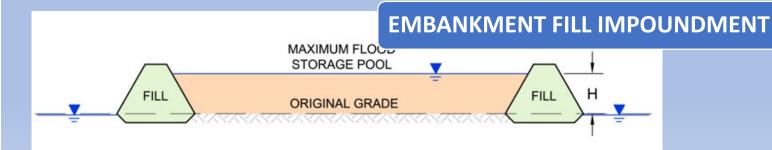
And many other...



TYPES OF IMPOUNDMENTS









GENERAL DESIGN TYPES

MAIN
DESIGN
APPROACHES

- Embankment
- Upstream
- Centerline
- Downstream

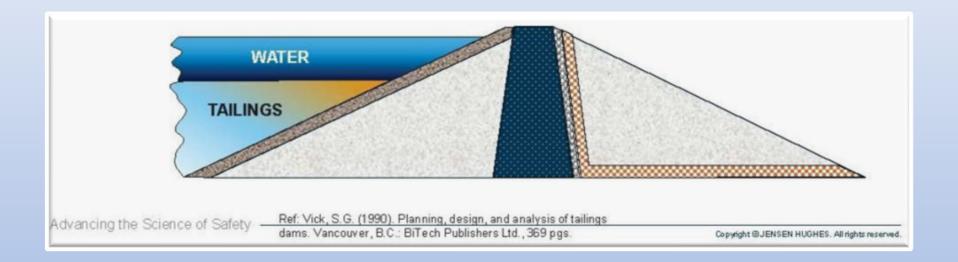
Reference: Klohn, E. "Taconite Tailings Disposal Practices", Geotechnical Practices in Mine Waste Disposal,

A.S.C.E., New York, NY, 1979, pp 202-241

Reference: Vick S.G.,1990



GENERAL DESIGN TYPES: EMBANKMENT



Constructed to full height prior to deposition

Good permanent tailings and water storage

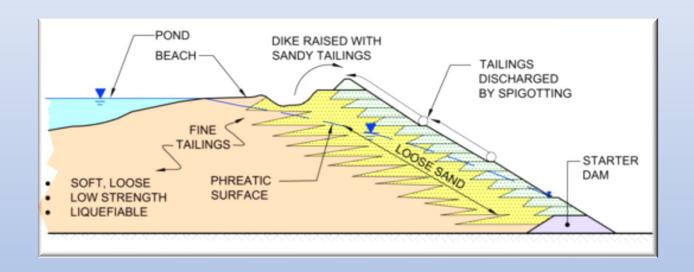
Good seismic resistance

Requires compacted natural borrow materials

Relatively high cost



GENERAL DESIGN TYPES: UPSTREAM



Constructed progressively using tailings

Most common worldwide

Lowest cost compared to other methods

Not suitable for significant water storage

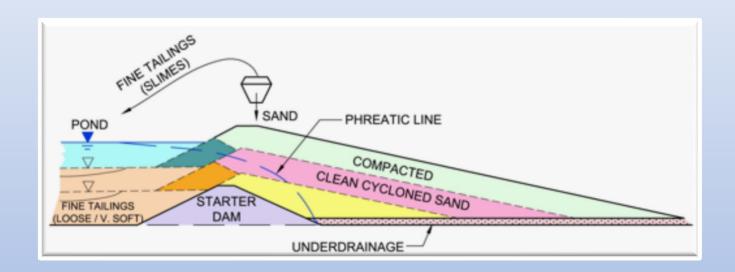
Poor seismic resistance

Raising rate restrictions of 15-30 feet per year may apply



GENERAL DESIGN TYPE:

CENTERLINE



Constructed progressively using tailings or natural borrow materials

Good temporary water storage ability

Moderate cost

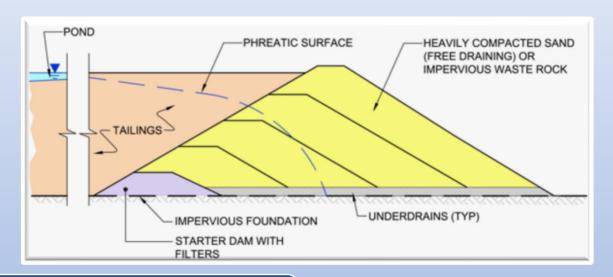
Acceptable seismic resistance

Raising rate restrictions may apply



GENERAL DESIGN TYPES:

DOWNSTREAM



Constructed progressively using tailings or natural borrow materials

Good permanent water storage suitability

Good seismic resistance

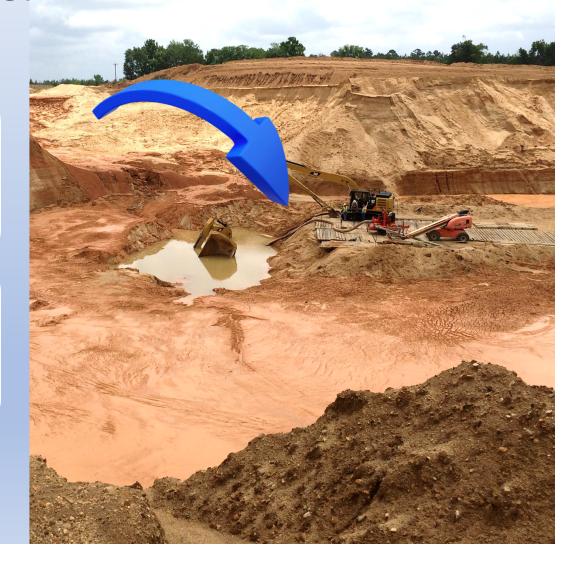
Relatively high cost (compared to centerline and upstream construction methods)



DO SURFACE TAILINGS IMPOUNDMENTS HAVE A HIGHER RISK OF FAILURE?

Failure rate over the past 100 years is estimated to be 1.5%¹

More than 100 times higher than conventional water storage dams at 0.01%²

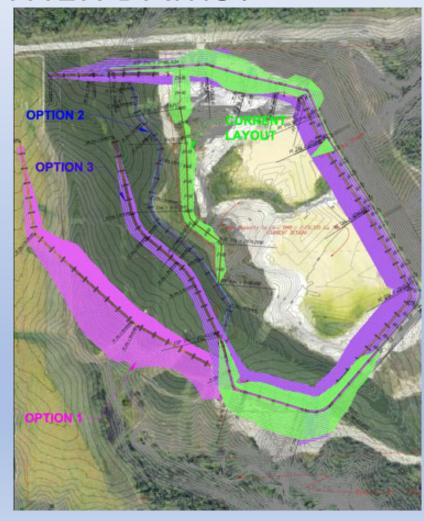


WHY ARE TAILINGS IMPOUNDMENTS DIFFERENT FROM OTHER DAMS?

Often raised repeatedly over their lifecycles

Stores a mixture of minerals and water

When full, they generally remain in place (risk remains for many years)





WHAT ARE THE MOST COMMON CAUSES OF IMPOUNDMENTS FAILURES?



01

PIPING THRU EMBANKMENT

02

PIPING THRU FOUNDATION





OTHER COMMON CAUSES OF IMPOUNDMENTS FAILURES

Embankment slope stability

Foundation degradation

- Karst development
- Liquefaction
- •Loss of fines over time

Earthquakes, ground motions and faults

Equipment malfunction or failure



WHAT DO COMMON FAILURE CAUSES LOOK LIKE?







OVERTOPPING (most common)

- Uncontrolled flow of water over, around and adjacent
- Erosive wave action



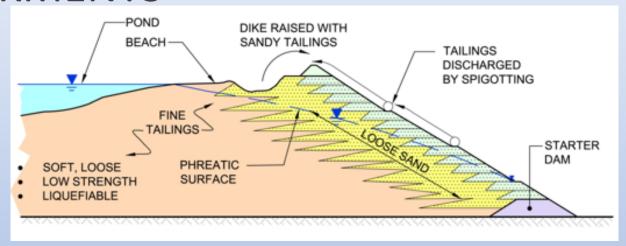
WHAT DO COMMON FAILURE CAUSES LOOK LIKE?



PIPING THROUGH EMBANKMENT



GEOTECHNICAL ISSUES WITH EMBANKMENTS



UPSTREAM CONSTRUCTION METHOD

Low shear strength tailings – soft, loose

No internal drainage, high water pressures

Poor compaction of fill materials

Steep side slopes

Weak foundation

Liquefiable soils under seismic loads



GEOTECHNICAL ISSUES WITH EMBANKMENTS

BEFORE AFTER



Feijão Dam Failure January 24th, 2019
Brazil Vale S.A.'s Córrego do Feijão Iron Ore Mine



GEOTECHNICAL ISSUES

FEIJAO DAM BASELINE CONDITIONS

The constructed using the upstream construction method over a period of 37 years in 10 raises

Height was **80m with crest length** of **700m.**

No new raisings were constructed after 2013, and tailings disposal ceased in July 2016.

Reference: "Report of the Expert Panel on the Technical Causes of the Failure of Feijao Dam I", December 12, 2019



FEIJAO DAM FAILURE

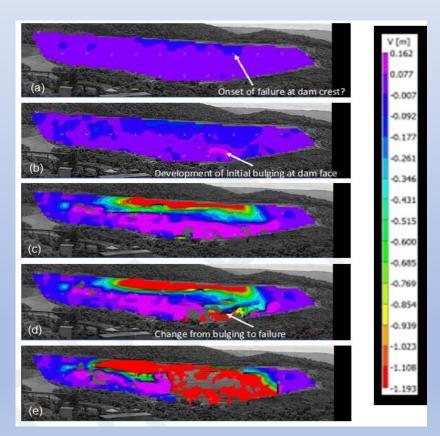


Figure 18: Failure of Dam 11

Observed Initiation (OI) at the Center of the Crest;

0.2 s After OI, Initial Bulging of the Face;

1.4 s After OI, Showing Escalation;

2.4 s After OI, Widening Collapse of the Crest and Increased Bulging of the Face;

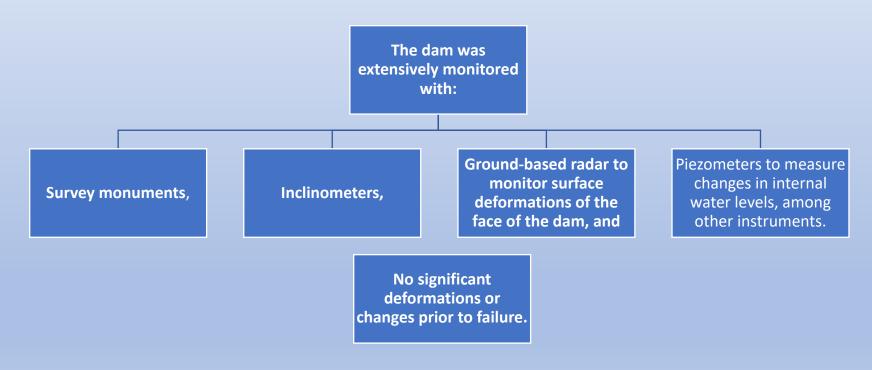
2.6 s and 3.6 s After OI when the Crest and Bulging Join in Failure



 $^1\,\text{Reference}$: "Report of the Expert Panel on the Technical Causes of the Failure of Feijao Dam I", December 12, 2019

GEOTECHNICAL ISSUES

FEIJAO DAM FAILURE MONITORING SYSTEMS



Reference: "Report of the Expert Panel on the Technical Causes of the Failure of Feijao Dam I", December 12, 2019



PRIMARY FACTORS AFFECTING FAILURES

Absence of design by a knowledgeable professional, and/or



Lack of understanding of geotechnical and dam engineering principals, and



Not following O&M procedures and schedules



DESIGN APPROACH OVERVIEW

DAM SIZE and HAZARD CLASSIFICATION (varies by state)

HAZARD CLASSIFICATION	HAZARD POTENTIAL	
HIGH	Probable loss of life Major economic losses	
SIGNIFICANT	Possible loss of life Major economic losses)
LOW	Loss of life not expected Minimal property damage	



DESIGN OVERVIEW

The following tasks are for a site located in the Midwest:

Site
Reconnaissan
ce
and Meeting
with the
Owner

Developme nt of Preliminary Layout

Exploratory Drilling and Sampling Laboratory
Soil and
Rock Testing

Analysis

- Applicable Regulations and Permit Requirements
- Hazard Class
- Hydraulic and Hydrologic Modeling
- Regional Flood Flows
- Design Flood Flows (PMP)
- Routing of Design
 Flood
- Slope Stability and Seepage Analysis (Slope/W and SEEP/W)

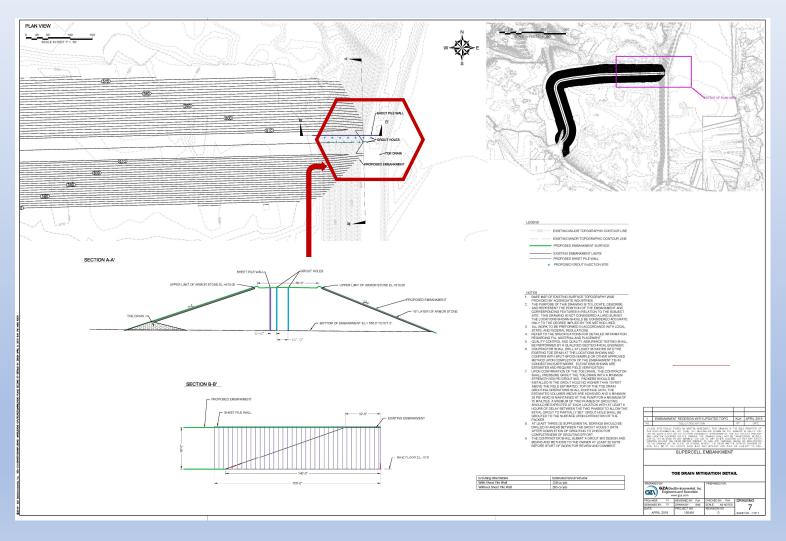
DESIGN OVERVIEW

The following tasks are for a site located in the Midwest:

Design Report with Recommendations for Construction • Site Preparation • Fill Source Bid (Embankment, **Final Design** Construction Riprap, Toe Drain) **Documents Drawings and Oversight and** and Bid • Toe Drain Design **Specifications Monitoring Evaluation** Placement and **Compaction of Fill**

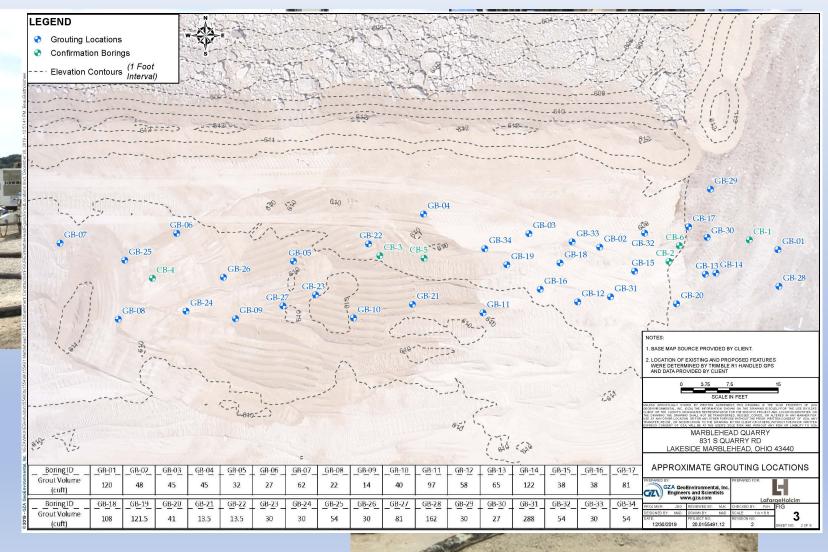


EXISTING TOE DRAIN MITIGATION



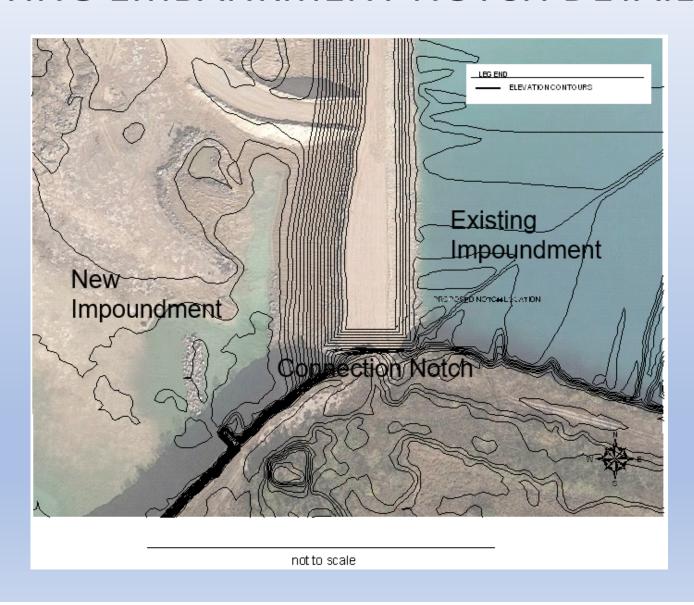


TOE DRAIN GROUTING





EXISTING EMBANKMENT NOTCH DETAIL





OPENING EMBANKMENT NOTCH



CONSTRUCTION OVERSIGHT & MONITORING









CONSTRUCTION DOCUMENTATION





RESPONSIBLILTIES

THE OWNER

Inspection, operation and maintenance and consequences of failure

REGULATORY AGENCIES

Developing design standards

Issuing permits

Enforcing regulations



WHAT SHOULD I BE DOING?

Or the Never-Ending Duties of an Embankment Owner

Perform informal and formal inspections (i.e. daily, weekly, monthly)

Special cases: prior to, during, and after major weather events including rain, snowmelt, and/or wind

Develop an O&M Plan



Develop an EAP (if necessary or prudent to do so)



EMBANKMENT INSPECTION BASICS



Where do I start?





EMBANKMENT INSPECTION LEVEL OF EFFORT

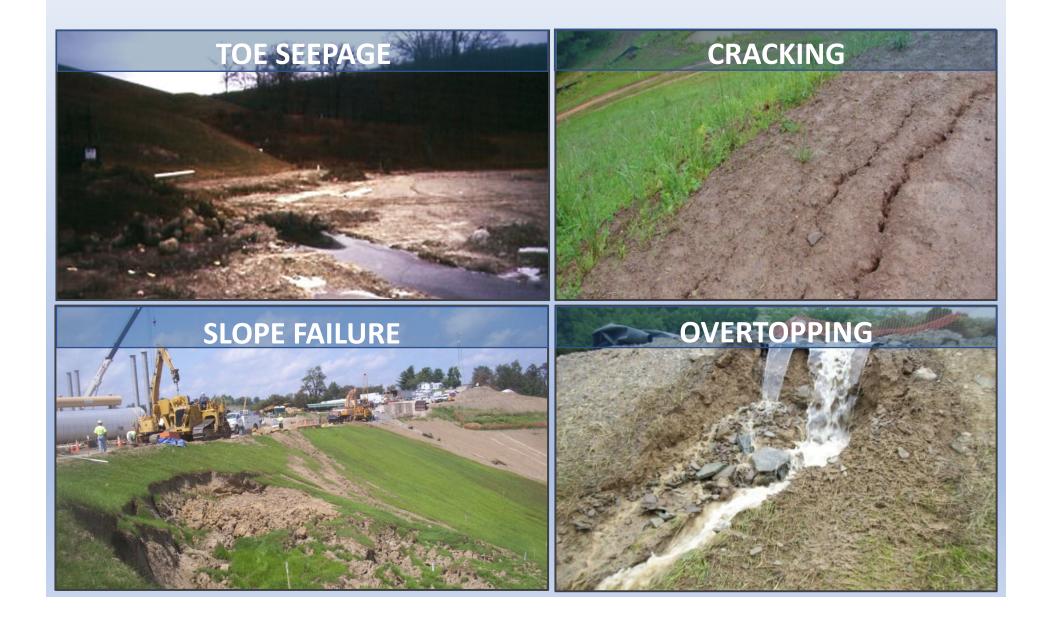
INITIAL INSPECTION

- Review Existing Information
- Visual inspection
- Some engineering evaluation, i.e. stability, spillway capacity?
- Evaluate findings & recommendations



DAM INSPECTION CHECKLIST **INSPECTION Document** Use a Look for changes conditions (notes standardized and photos) checklist Trees and brush Livestock damage **Debris obstructing inlet** Settlement in crest of dam Wave erosion Rodent Leakage Cracking activity into conduit Concrete Internal erosion Leakage out of conduit

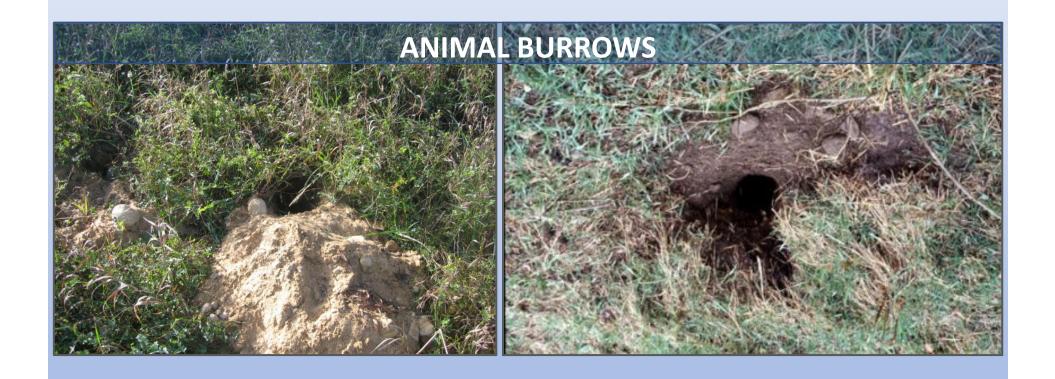
EMBANKMENT INSPECTION - WHAT TO LOOK FOR



EMBANKMENT INSPECTION - WHAT TO LOOK FOR



EMBANKMENT INSPECTION – WHAT TO LOOK FOR





TYPICAL EMBANKMENT O&M

Vegetation maintenance

Animal control

Exercise of gates, values and pumps

Clearing of sediment and debris

Instrumentation monitoring/data collection

Water level monitoring

Vandalism, dam access, and security





O&M PLAN CONTENTS

Background information and impoundment description (as built drawings, specifications)

Key personnel and contact information

Operating instructions (e.g., gates, valves, pumps)

Inspection and maintenance guidelines and schedule

Emergency operations guidelines (along with or in lieu of EAP)

EMBANKMENT CARETAKER

One primary person is designated to maintain information and act as embankment caretaker/liaison



Keep a binder with:

- Construction information, photos and plans
- Maintenance records
- O&M Plan
- EAP
- Inspection Checklist
- Other Relevant Documents



YOUR EMBANKMENT ALARM SYSTEM

 To verify if a dam is performing as intended, and to identify changing conditions, the following should be performed:

Visual observation

Movement indicators (e.g. Inclinometers, crack gauges)

Pressure indicators (e.g. piezometers)

Water level and flow (e.g. staff gauge, discharge point, rain gauges)

Weather forecasts and warnings





2020 IAAP Convention Springfield, Illinois

Presented by Patrick Harrison, P.E., Senior Consultant

March 5th, 2020

